

**MCB5472**  
**Computer methods in**  
**molecular evolution**

Lecture 3/22/2014

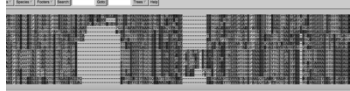
slides 1-46 and slide 104/105

## Review of Alignments

ATPase dataset

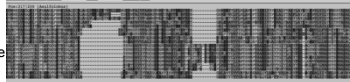
Alignment

clustal



vs

muscle



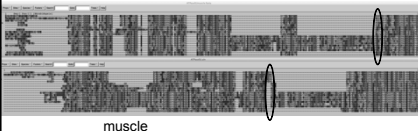
Conserved parts are aligned reproducibly

ATPase dataset


Alignment

clustal

vs



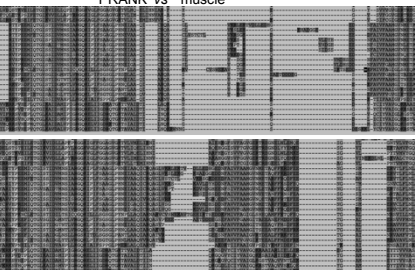
muscle



The alignment of the less conserved parts is questionable. Using the progressive alignment approach on these sequences can cause problems in downstream analyses.

ATPase dataset

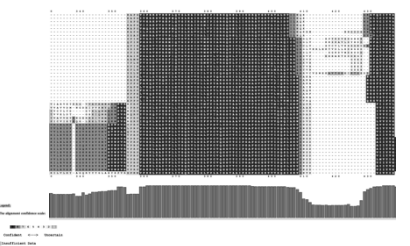
Alignment PRANK vs muscle



ATPase dataset

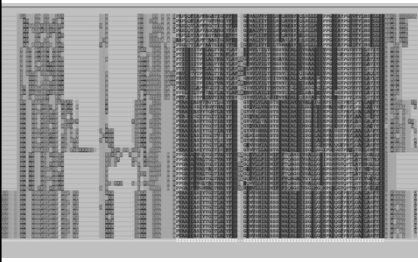
Reliably aligned positions determined with *guidance*

MSA color-coded by GUIDANCE scores




ATPase dataset

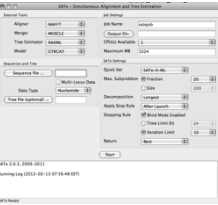
Reliably aligned columns determined with GBLOCKS



**SATé**  
**Simultaneous Alignment and Tree Estimation**  
<http://phylo.bio.ku.edu/software/sate/sate.html>



SATé 2.1.0  
SATé 2.1.0 for Mac with instructions



GUI works well on iMacs, uses only local processors, BUT a machine with two 6 core intel processors counts having 24 processors due to "multithreading".

### Discussion

- What is the effect of throwing out sites that are not reliably aligned?
- What can one do to avoid phylogenetic reconstruction artifacts due to progressive alignment of non-homologous sites? (consider guide trees and gaps)

### What's in a tree?

Trees from molecular data are usually calculated as unrooted trees (at least they should be - if they are not this is usually a mistake).  
 To root a tree you either can assume a molecular clock (substitutions occur at a constant rate, again this assumption is usually not warranted and needs to be tested), or you can use an **outgroup** (i.e. something that you know forms the deepest branch).  
 For example, to root a phylogeny of birds, you could use the homologous characters from a reptile as outgroup; to find the root in a tree depicting the relations between different human mitochondria, you could use the mitochondria from chimpanzees or from Neanderthals as an outgroup; to root a phylogeny of alpha hemoglobins you could use a beta hemoglobin sequence, or a myoglobin sequence as outgroup, to root the tree of life, you could use ancient paralogs, such as in the ATP synthases, or you can use polarized characters.

Trees have a branching pattern (also called the **topology**), and **branch lengths**.

Often the branch lengths are ignored in depicting trees (these trees often are referred to as cladograms - note that cladograms should be considered rooted). You can swap branches attached to a node, and in an unrooted you can depict the tree as rooted in any branch you like without changing the tree.

### Terminology for Trees

- (Leaves, OTUs), (Branches, splits, bipartitions), Nodes
- In a rooted tree: clades (for unrooted trees sometimes the term **clann** is used)
- Mono-, Para-, polyphyletic groups, cladists and a natural taxonomy

The term **cladogram** refers to a strictly bifurcating diagram, where each **clade** is defined by a common ancestor that only gives rise to members of this clade. I.e., a clade is **monophyletic** (derived from one ancestor) as opposed to **polyphyletic** (derived from many ancestors). (Note: you do need to know where the root is!)

A clade is recognized and defined by shared derived characters (= **synapomorphies**).  
**Shared primitive characters** (= **symplesiomorphies**, alternative spelling is **symplesiomorphies**) do not define a clade, but a paraphyletic group. Characters that evolved twice independently are known as **Homoplasies**. They define **polyphyletic** groups (see in class example drawing ala Hennig).

To use these any terms (aside from homoplasy) you need to have **polarized** characters; for most molecular characters you don't know which state is primitive and which is derived (exceptions:....).

See the wikipedia entries for **Walter Hennig**, **cladistics**, and **monophyly** - see **holophyly** and the link to Ashlock's paper for a divergent view.

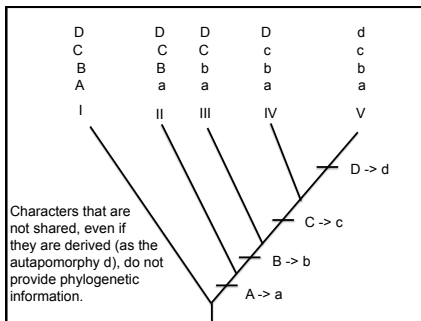
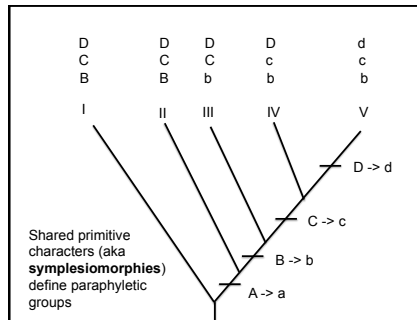
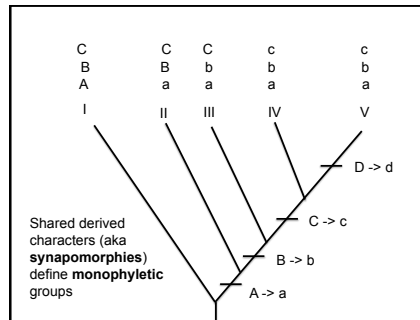
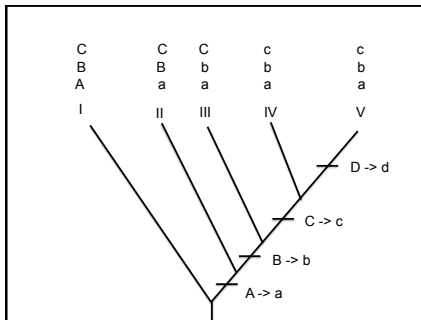
### Terminology

Related terms:  
**autapomorphy** = a derived character that is only present in one group; an autapomorphic character does not tell us anything about the relationship of the group that has this character or other groups.

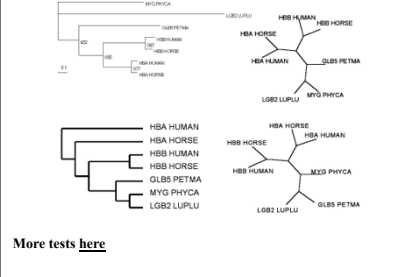
**homoplasy** = a derived character that was derived twice independently (convergent evolution). Note that the characters in question might still be homologous (e.g. a position in a sequence alignment, frontlimbs turned into wings in birds and bats).

**paraphyletic** = a taxonomic group that is defined by a common ancestor, however, the common ancestor of this group also has descendants that do not belong to this taxonomic group. Many systematists despise paraphyletic groups (and consider them to be polyphyletic). Examples for paraphyletic groups are reptiles and protists. Many consider the archaica to be paraphyletic as well.

**holophyletic** = same as above, but the common ancestor gave rise only to members of the group.



### Test: Which of these trees is different?



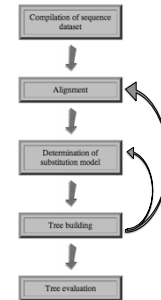
More tests [here](#)

### Steps in phylogenetic analysis

Phylogenetic analysis is the inference of evolutionary relationships between genes or organisms. Phylogenetics tries to answer the question "How did groups of organisms come into existence?"

These relationships are usually represented by tree-like diagrams.

**Note:** the equation of biological evolution with a tree like process has limited validity at best.



**Phylogenetic reconstruction - How**

**Distance analyses**  
 calculate pairwise distances  
 (different distance measures, correction for multiple hits, correction for codon bias)

make distance matrix (table of pairwise corrected distances)

calculate tree from distance matrix

i) using optimality criterion  
 (e.g.: smallest error between distance matrix and distances in tree, or use  
 ii) algorithmic approaches (UPGMA or neighbor joining)  
 See wikipedia entry on Neighbor joining for a good illustration on how NJ works. See [here](http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1478999/) for a worked example of UPGMA

**Phylogenetic reconstruction - How**

**Parsimony analyses** (see wikipedia entry for Parsimony)  
 find that tree that explains sequence data with minimum number of substitutions  
 (tree includes hypothesis of sequence at each of the nodes)

**Maximum Likelihood analyses**  
 given a model for sequence evolution, find the tree that has the highest probability under this model.  
 This approach can also be used to successively refine the model.

**Bayesian statistics**  
 use ML analyses to calculate posterior probabilities for trees, clades and evolutionary parameters. Especially MCMC approaches have become very popular in the last year, because they allow to estimate evolutionary parameters (e.g., which site in a virus protein is under positive selection), without assuming that one actually knows the "true" phylogeny.

Else:  
 spectral analyses, like evolutionary parsimony, look only at patterns of substitutions,  
 Another way to categorize methods of phylogenetic reconstruction is to ask if they are using  
 an optimality criterion (e.g.: smallest error between distance matrix and distances in tree, least number of steps, highest probability),  
 or  
 algorithmic approaches (UPGMA or neighbor joining)

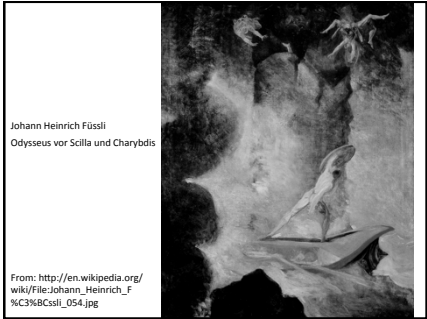
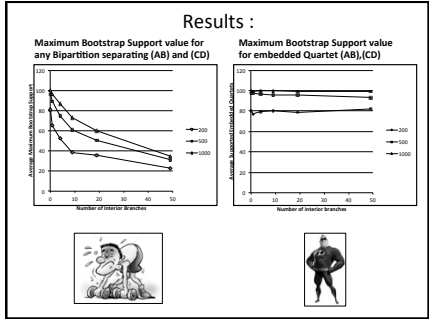
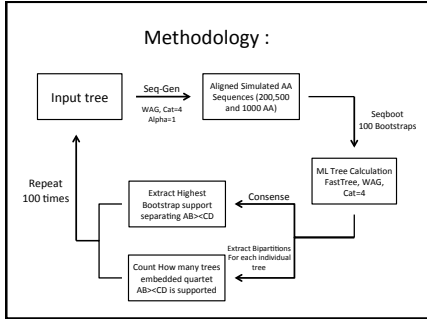
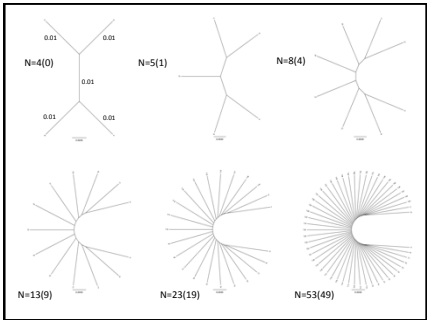
Packages and programs available: PHYLIP, phyml, MrBayes, Tree-Puzzle, PAUP\*, clustalw, raxml, PhyloGenie, HyPhy

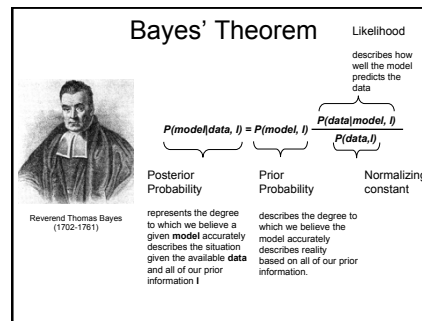
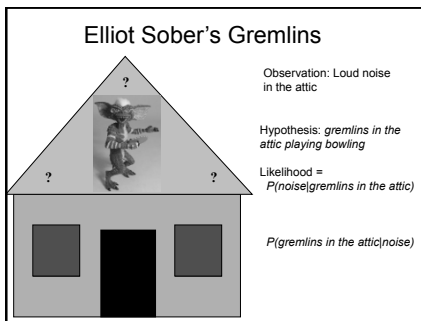
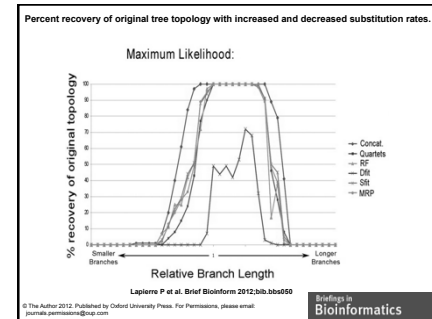
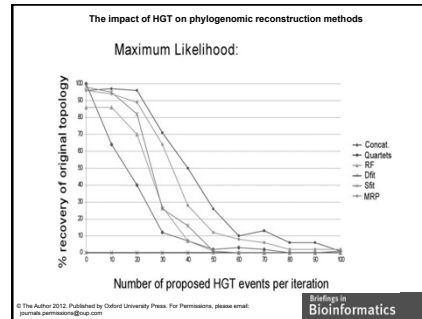
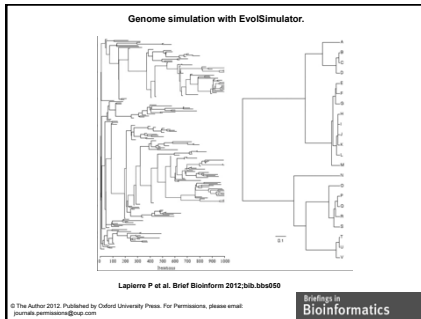
**Bootstrap ?**

- See [here](http://pubmed.ncbi.nlm.nih.gov/16334712/) and [here](http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1478999/)
- **Non-parametric bootstrap:**  
 bootstrap samples are generated through sampling with replacement
- **Parametric bootstrap** (not frequently used, should be used more often)  
 samples are created through simulation of sequence evolution (with parameters estimated through ml).  
 Good for testing hypotheses! (Examples?)

**Bootstrap Support Values for Embedded Quartets vs. Bipartitions:**

Performance evaluation using sequence simulations and phylogenetic reconstructions





Likelihood estimates do not take prior information into consideration:

e.g., if the result of three coin tosses is 3 times head, then the likelihood estimate for the frequency of having a head is 1 (3 out of 3 events) and the estimate for the frequency of having a tail is zero.

$P(A, B) = P(A, B)$  The probability that both events (A and B) occur

$P(A|B) = P(B) = P(B|A) * P(A)$  Both sides expressed as conditional probability

$P(A|B) = \frac{P(B|A) * P(A)}{P(B)}$

If A is the model and B is the data, then  $P(B|A)$  is the likelihood of model A.  $P(A|B)$  is the posterior probability of the model given the data.  $P(A)$  is the considered the prior probability of the model.  $P(B)$  often is treated as a normalizing constant.

Alternative Approaches to Estimate Posterior Probabilities

Bayesian Posterior Probability Mapping with MrBayes (Huelsenbeck and Ronquist, 2001)

Problem:

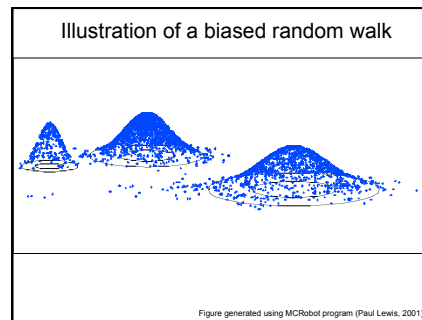
Strimmer's formula  $p_i = \frac{L_i}{L_1 + L_2 + L_3}$  only considers 3 trees (those that maximize the likelihood for the three topologies)

Solution:

Exploration of the tree space by sampling trees using a biased random walk (Implemented in MrBayes program)

Trees with higher likelihoods will be sampled more often

$p_i = \frac{N_i}{N_{\text{total}}}$  , where  $N_i$  - number of sampled trees of topology  $i$ ,  $i=1,2,3$   
 $N_{\text{total}}$  - total number of sampled trees (has to be large)



More reading on Phylogenetics is at

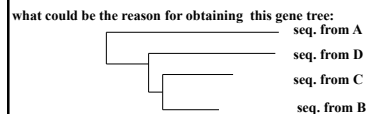
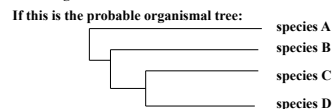
[http://en.wikipedia.org/wiki/Computational\\_phylogenetics](http://en.wikipedia.org/wiki/Computational_phylogenetics)

### Why could a gene tree be different from the species tree?

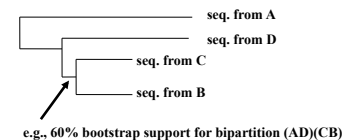
- Lack of resolution
- Lineage sorting
- Gene duplications/gene loss (paralogs/orthologs)
- Gene transfer
- Systematic artifacts (e.g., compositional bias and long branch attraction)

### Trees – what might they mean?

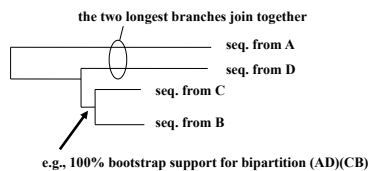
Calculating a tree is comparatively easy, figuring out what it might mean is much more difficult.



### lack of resolution

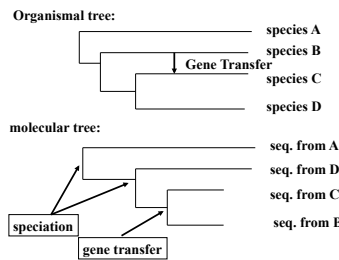


### long branch attraction artifact

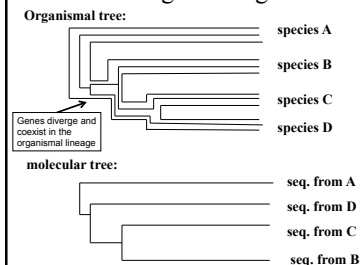


What could you do to investigate if this is a possible explanation?  
use only slow positions,  
use an algorithm that corrects for ASRV

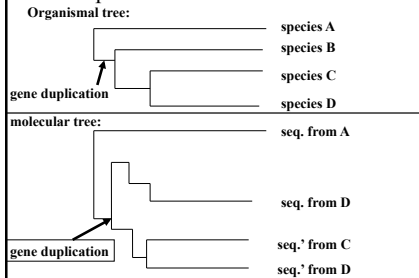
### Gene transfer



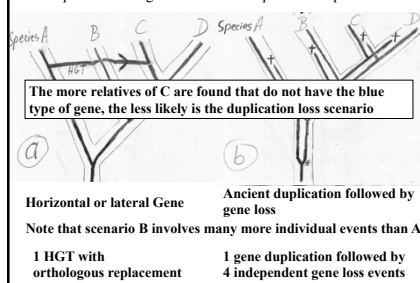
### Lineage Sorting



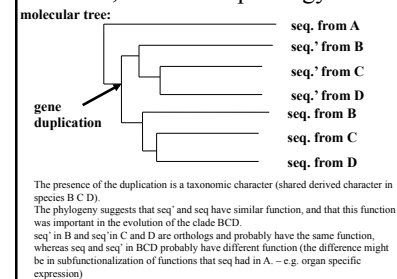
### Gene duplication



Gene duplication and gene transfer are equivalent explanations.



### Function, ortho- and paralogy

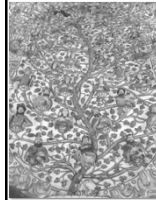


**Note:**

We likely will not cover the following slides on Phylip, phym, etc.

Note the assignment for next week on the last slide.

**Family Trees, Genealogies, Pedigrees**



Genealogy (Church Ceiling, Santo Domingo, Oaxaca)

Charles Darwin's Family "Tree"

Russian naturalist **Peter Simon Pallas** (1766): "But the system of organic bodies is best of all represented by an **image of a tree** which immediately from the root would lead forth out of the most simple plants and animals a double, variously contiguous animal and vegetable trunk; the first of which **would proceed** from mollusks to fishes, with a large side branch of insects sent out between these, hence to amphibians and at the farthest tip it would sustain the quadrupeds, but below the quadrupeds it would put forth birds as an equally large side branch." (translation from Latin by E. N. Genovese, from J. David Archibald: "Edward Hitchcock's Pre-Darwinian (1840) 'Tree of Life'" *Journal of the History of Biology* (2009) 42:561-592)



**Tree of animal life.** Depiction of the tree proposed by Peter Simon Pallas, from Carl Edward von Eichwald's *Zoologia specialis* (1829). (From: Mark A. Ragan: "Trees and networks before and after Darwin". *Biol Direct.* 2009 Nov 16;4:43.)

Jean-Baptiste Lamarck

TABLEAU  
DES CLASSES NATURELLES EN DIFFÉRENTS ORDRES

ORDRE général de la formation des animaux, d'après le plan organique, adhésif.

Tree from 1809

Tree-like classification from 1815

Charles Darwin, 1830s (by G. Richmond from Origins, Richard Leakey and Roger Lewin: [www.oxfordjournals.org/doi/full/10.1093/oso/9780195131136.003.0001](http://www.oxfordjournals.org/doi/full/10.1093/oso/9780195131136.003.0001))

Sketch from Charles Darwin's (1809-1882) notebook (1837)

Lebensbaum from Ernst Haeckel, 1874

Small Subunit Ribosomal RNA based Tree of Life.

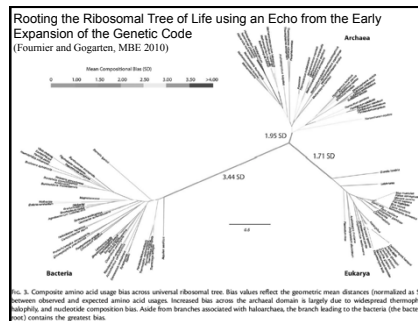
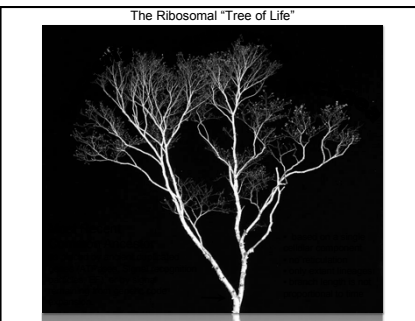
BACTERIA

ARCHAEA

EUCARYA

Carl Woese

George Fox



Ochiai, K., Yamanaka, T., Kimura, K., and Sawada, O. (1959) **Inheritance of drug resistance (and its transfer) between *Shigella* strains and *E. coli* strains.** *Hihon Iji Shimpou* 1861: 34 (in Japanese)

Gray GS, Fitch WM (1983): **Evolution of antibiotic resistance genes: the DNA sequence of a kanamycin resistance gene from *Staphylococcus aureus*.** *Mol Biol Evol* 1983, 1(1):57-66.

Sorin Sonea (1988): **The global organism: A new view of bacteria.** *The Sciences*, 28:38-45.



**1993:**

Horizontal transfer of ATPase genes — the tree of life becomes a net of life

Elisa Hilalo, Johann Peter Gogarten\*

Department of Molecular and Cell Biology, University of Connecticut, 39 North Eagleville Rd., Storrs, CT 06269-3043, USA

**1995:**

GOGARTEN, J.P. (1995): The early evolution of cellular life, Trends in Ecology and Evolution, 10, 147-151.

**1998:**

RESEARCH NEWS

**Genome Data Shake Tree of Life**

New genome sequences are mystifying evolutionary biologists by revealing unexpected connections between microbes thought to have diverged hundreds of millions of years ago

Science, 280, p.672ff (1998)

**Shaking branches:** Some gene families contained the other species in the tree. The 100 most divergent genes in the tree are shown in red.

**Evolutionary processes analogous to the ones proposed to occur in the microbial world**

Cartoons from Science Made Stupid, T. Weller, 1986.  
from <http://www.besse.ais.com/> Slide kindly provided by Kenneth Noll.

**GENOMES OF CLOSELY RELATED ORGANISMS: CORE AND SHELL**

Overlapping gene set of three Frankia sp. strains

Strain-specific

Dispensable

core

Dispensable

Image source: [web.uconn.edu/ucd/afibson/Frankia/Frankia.htm](http://web.uconn.edu/ucd/afibson/Frankia/Frankia.htm)

**Frequencies of gene types in individual bacterial genomes**

Accessory Genes: Genes that can be used to distinguish strains or serotypes (mostly genes of unknown functions)

Character Genes: Set of genes that define niches, groups or species (Symbiosis, Photosynthesis)

Extended Core: Essential genes (Translation/Replication, Energy, Homeostasis)

Average bacterial genome of 3000 genes

Approximate number of genes sampled in 200 bacterial genomes: 25,160 core genes, 452,781 extended core genes, 159,259 accessory genes

**Tree, Web, or Coral of Life?**

Charles Darwin painted by George Richmond in the late 1850

"The tree of life should perhaps be called the coral of life, base of branches dead"

Page B26 from Charles Darwin's (1809-1882) notebook (1837/38)

The tree of life should perhaps be called the coral of life, base of branches dead; so the paper cannot be seen.

**Which Type of Coral ?**

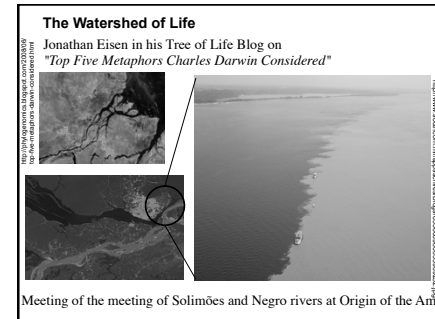
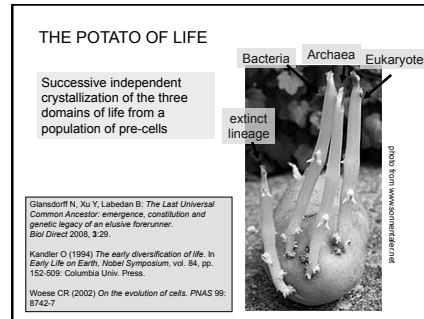
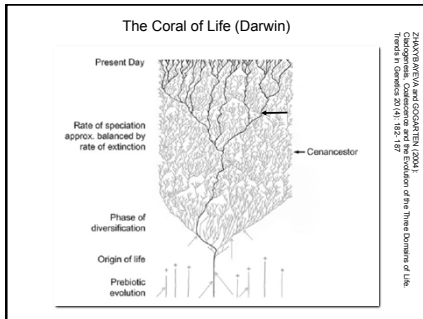
**Darwin's Coral a Red Algae?**  
(*Bossea orbignyana*)

According to Horst Breddokamp, parts of the diagram in Darwin's origin of species (center) may reflect the branching properties of a specimen Darwin collected himself.

From Florian Maderspacher: "The captivating coral—the origins of early evolutionary imagery." Current Biology 16: R476-8 2006

"As buds give rise by growth to fresh buds, and these, if vigorous, branch out and overtop on all sides many a feebler branch, so by generation I believe it has been with the great Tree of Life, which fills with its dead and broken branches the crust of the earth, and covers the surface with its ever-branching and beautiful ramifications."

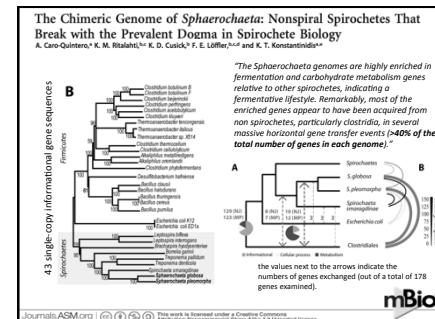
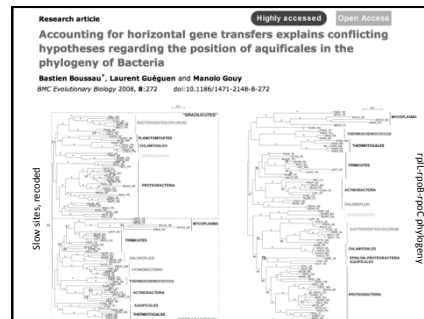
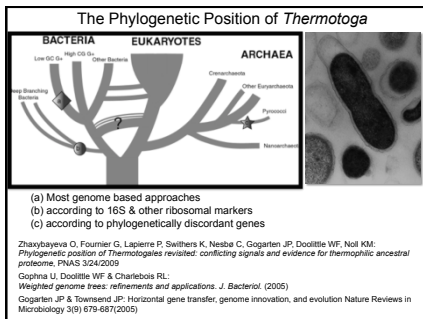
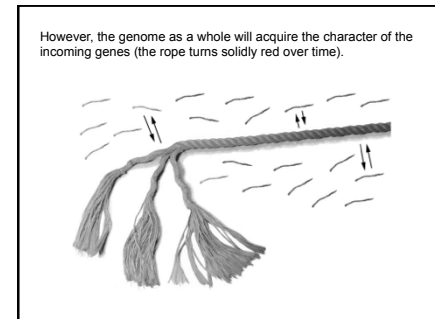
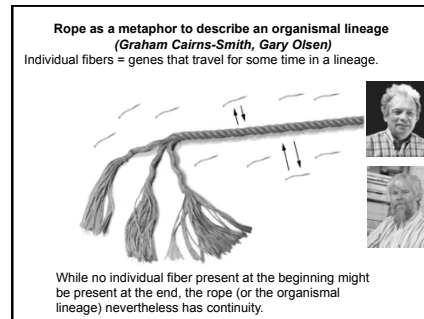
Charles Darwin in "On the Origin of Species by Means of Natural Selection or the Preservation of Favoured Races in the Struggle for Life", p 162 ff, London, John Murray, 1859



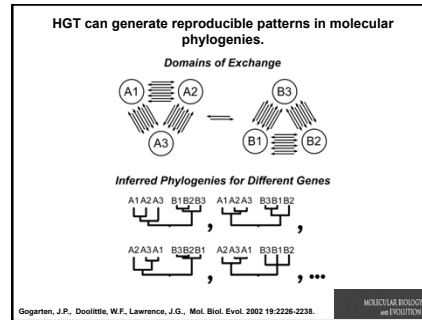
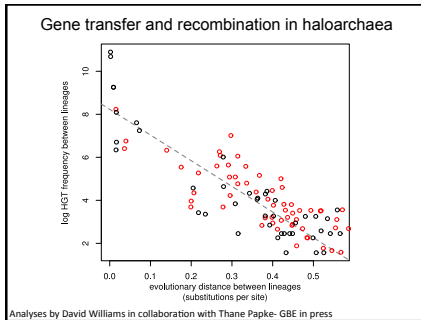
Can one reconstruct microbial phylogeny?

PHYLOGENY: from Greek phylon, race or class, and -genia, born. "the origin and evolution of a set of organisms, usually of a species" (Wikipedia);

Phylogeny does not necessarily occur in a tree-like process!







written and distributed by Joe Felsenstein and collaborators (some of the following is copied from the PHYLIP homepage)

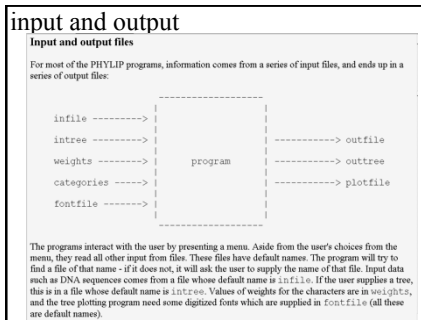
**Phylip**

PHYLIP (the *PHY*Logeny Inference Package) is a package of programs for inferring phylogenies (evolutionary trees).

PHYLIP is the most widely-distributed phylogeny package, and competes with PAUP\* to be the one responsible for the largest number of published trees. PHYLIP has been in distribution since 1980, and has over 15,000 registered users.

Output is written onto special files with names like "outfile" and "outtree". Trees written onto "outtree" are in the **Newick** format, an informal standard agreed to in 1986 by authors of a number of major phylogeny packages.

Input is either provided via a file called "infile" or in response to a prompt.



What's in PHYLIP

Programs in PHYLIP allow to do parsimony, distance matrix, and likelihood methods, including bootstrapping and consensus trees. Data types that can be handled include molecular sequences, gene frequencies, restriction sites and fragments, distance matrices, and discrete characters.

Phylip works well with protein and nucleotide sequences  
 Many other programs mimic the style of PHYLIP programs. (e.g. TREEPUZZLE, phylml, protpm)

Many other packages use PHYP programs in their inner workings (e.g., PHYLO\_WIN)

PHYLIP runs under all operating systems

Web interfaces are available

Programs in PHYLIP are Modular

For example:

**SEQBOOT** take one set of aligned sequences and writes out a file containing bootstrap samples.

**PROTDIST** takes a aligned sequences (one or many sets) and calculates distance matrices (one or many)

**FITCH** (or **NEIGHBOR**) calculate best fitting or neighbor joining trees from one or many distance matrices

**CONSENSE** takes many trees and returns a consensus tree

... modules are available to draw trees as well, but often people use **treeview** or **nplot**

The **Phylip Manual** is an excellent source of information.

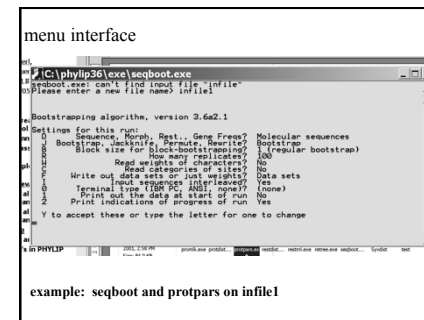
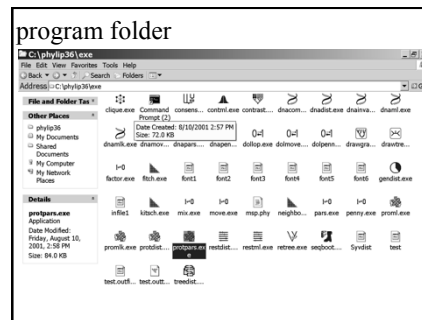
Brief one line descriptions of the programs are [here](#)

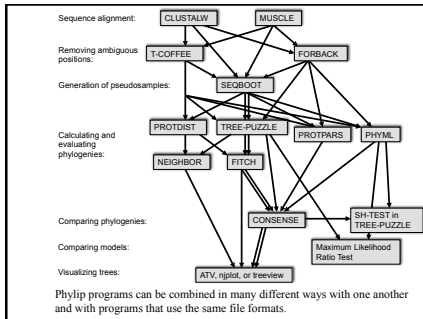
The easiest way to run PHYLIP programs is via a command line menu (similar to `clustalw`). The program is invoked through clicking on an icon, or by typing the program name at the command line.

```
> seqboot
> protpars
> fitch
```

If there is no file called `infile` the program responds with:

```
[gogarten@carrot gogarten]$ seqboot
seqboot: can't find input file "infile"
Please enter a new file name>
```





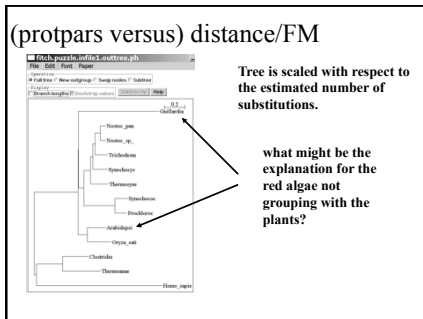
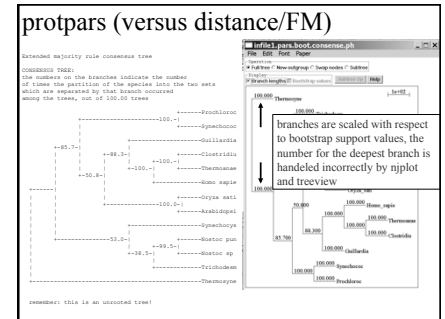
### Example 1 Protpars

example: seqboot, protpars, consense on infile

**NOTE** the bootstrap majority consensus tree does not necessarily have the same topology as the "best tree" from the original data!

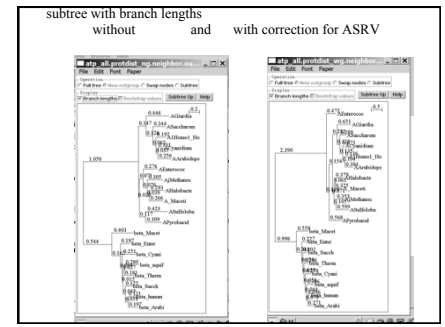
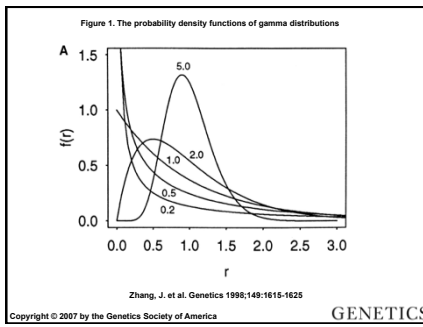
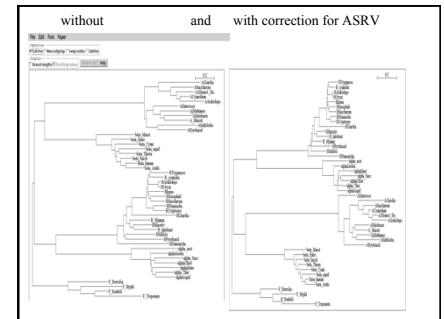
**threshold parsimony, gap symbols - versus ? (in vi you could use :%s/-/?/g to replace all - ?) outfile**

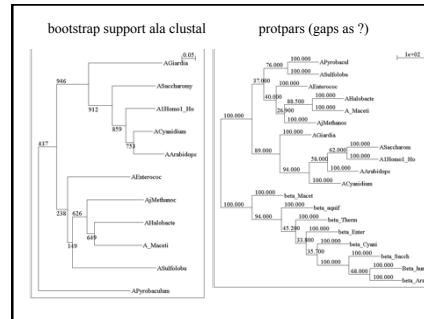
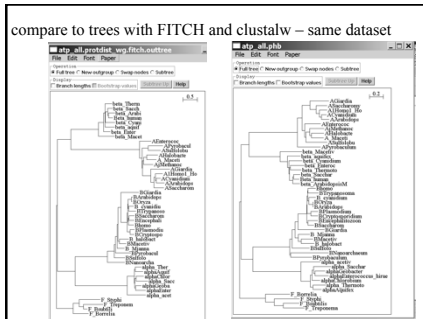
**outtree compare to distance matrix analysis**



### protdist

PROTDIST  
Settings for this run:  
P Use JTT, PAM, PAM, Kimura, categories model? No  
G Gamma distribution of rates among positions? No  
C One category of substitution rates? Yes  
W Use weights for positions? No  
M Analyze multiple data sets? No  
I Input sequences interchanged? Yes  
O Terminal type (IBM PC, ANSI)? ANSI  
1 Print out the data at start of run. No  
2 Print indications of progress of run. Yes





**phyml**

**PHYML - A simple, fast, and accurate algorithm to estimate large phylogenies by maximum likelihood**

An online interface is [here](#) ;  
 there is a command line version that is described [here](#) (not as straight forward as in clustalw);  
 a phylip like interface is automatically invoked, if you type "phym1" – the manual is [here](#).

Phym1 is installed on bbcsrv1.

Do example on atp\_all.phy  
 Note data type, bootstrap option within program, models for ASRV (pinvar and gamma), by default the starting tree is calculated via neighbor joining.

**TreePuzzle ne PUZZLE**

TREE-PUZZLE is a very versatile maximum likelihood program that is particularly useful to analyze protein sequences. The program was developed by Korbian Strimmer and Arnd von Haseler (then at the Univ. of Munich) and is maintained by von Haseler, Heiko A. Schmidt, and Martin Vingron  
 (contacts see <http://www.tree-puzzle.de>).

**TREE-PUZZLE**

- allows fast and accurate estimation of ASRV (through estimating the shape parameter alpha) for both nucleotide and amino acid sequences,
- It has a "fast" algorithm to calculate trees through quartet puzzling (calculating ml trees for quartets of species and building the multispecies tree from the quartets).
- The program provides confidence numbers (puzzle support values), which tend to be smaller than bootstrap values (i.e. provide a more conservative estimate).
- the program calculates branch lengths and likelihood for user defined trees, which is great if you want to compare different tree topologies, or different models using the **maximum likelihood ratio test**.
- Branches which are not significantly supported are collapsed.
- TREE-PUZZLE runs on "all" platforms
- TREE-PUZZLE reads PHYLIP format, and communicates with the user in a way similar to the PHYLIP programs.

**Maximum likelihood ratio test**

If you want to compare two models of evolution (this includes the tree) given a data set, you can utilize the so-called maximum likelihood ratio test.

If  $L_1$  and  $L_2$  are the likelihoods of the two models,  $d = 2(\log L_1 - \log L_2)$  approximately follows a Chi square distribution with  $n$  degrees of freedom. Usually  $n$  is the difference in model parameters. I.e., how many parameters are used to describe the substitution process and the tree. In particular  $n$  can be the difference in branches between two trees (one tree is more resolved than the other).  
 In principle, this test can only be applied if on model is a more refined version of the other. In the particular case, when you compare two trees, one calculated without assuming a clock, the other assuming a clock, the degrees of freedom are the number of OTUs - 2 (as all sequences end up in the present at the same level, their branches cannot be freely chosen).

To calculate the probability you can use the [CHISQUARE calculator](#) for windows available from Paul Lewis.

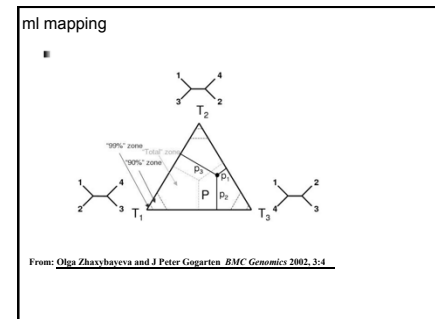
**TREE-PUZZLE allows (cont)**

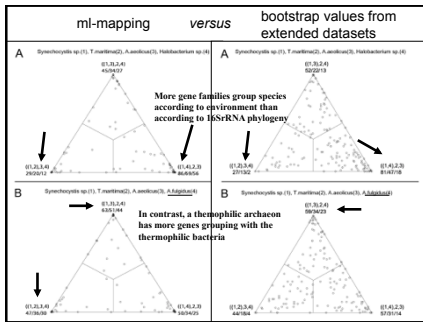
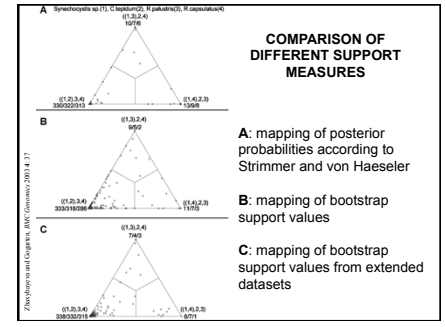
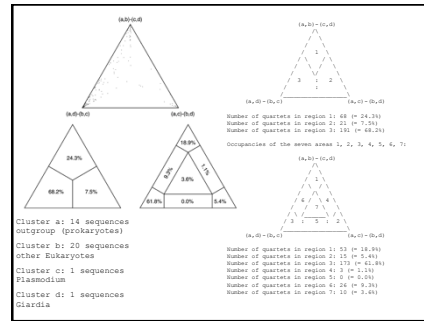
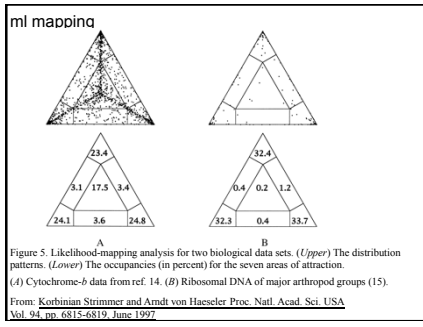
- TREEPUZZLE calculates distance matrices using the ml specified model. These can be used in FITCH or Neighbor.
- PUZZLEBOOT automates this approach to do bootstrap analyses – WARNING: this is a distance matrix analyses!
- The official script for PUZZLEBOOT is [here](#) – you need to create a command file (puzzle.cmds), and puzzle needs to be invocable through the command puzzle.
- Your input file needs to be the renamed outfile from **seqboot**
- A slightly modified working version of **puzzleboot\_mod.sh** is here, and here is an example for **puzzle.cmds**. Read the **instructions** before you run this!
- Maximum likelihood mapping is an excellent way to assess the phylogenetic information contained in a dataset.
- ML mapping can be used to calculate the support around one branch.

@@@ Puzzle is cool, don't leave home without it @@@

**TREE-PUZZLE – PROBLEMS/DRAWBACKS**

- The more species you add the lower the support for individual branches. While this is true for most algorithms, in TREE-PUZZLE this can lead to completely unresolved trees with only a handful of sequences.
- Trees calculated via quartet puzzling are usually not completely resolved, and they do not correspond to the ML-tree: The determined multi-species tree is not the tree with the highest likelihood, rather it is the tree whose topology is supported through ml-quartets, and the lengths of the resolved branches is determined through maximum likelihood.





**Assignments for next week Monday:**

1. Write a script that prints out a hash sorted on the keys in alphabetical order.
2. How can you remove an entry in a hash (key and value)?
3. Write a program that it uses hashes to calculates mono-, di-, tri-, and quartet-nucleotide frequencies in a genome.
4. Turn your project outline into a step by step to-do list / pseudocode

**assignments continued**

Assume that you have the following non-aligned multiple sequence files in a directory:

**A.fa** : vacuolar/archaeal ATPase catalytic subunits ;  
**B.fa** : vacuolar/archaeal ATPase non-catalytic subunits;  
**alpha.fa** : F-ATPases non-catalytic subunits,  
**beta.fa** : F-ATPases catalytic subunits,  
**F.fa** : ATPase involved in the assembly of the bacterial flagella.

Write a perl script that executes muscle or clustalw2 and

- aligns the sequences within each file
- successively calculates profile alignments between all aligned sequences.